

# **Surf Life Saving Australia Policy**

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# **TABLE OF CONTENTS**

1	DEFINITIONS	4
2	WHAT IS SLSA'S POSITION ON DOPING?	5
3	WHO DOES THIS ADP APPLY TO?	5
4	OBLIGATIONS	5
5	DEFINITION OF DOPING	7
6	PROOF OF DOPING	7
7	ANTI-DOPING RULE VIOLATIONS	7
8	THE PROHIBITED LIST	7
9	THERAPEUTIC USE	7
10	TESTING	8
11	RETIREMENT AND RETURN TO COMPETITION	8
12	NEW MEMBERS	10
13	ANALYSIS OF SAMPLES	10
14	INVESTIGATIONS	10
15	RESULTS MANAGEMENT	10
16	HEARING	12
17	SANCTIONS	13
18	CONSEQUENCES TO TEAMS	13
19	APPEALS	13
20	MANAGEMENT OF ALLEGED ANTI-DOPING RULE VIOLATIONS NOT COVERED BY AN ANTI-DOPING POLICY	14
21	CONFIDENTIALITY AND REPORTING	14
22	INTERPRETATION OF THE CODE	15
าว	MISCELLANIEOLIS	10



## **BACKGROUND**

- Under a referral dated 13 September 2006 Surf Life Saving Australia (SLSA) referred the following anti-doping functions, powers and responsibilities ("anti-doping functions") to the Australian Sports Anti-Doping Authority (ASADA):
  - investigating possible anti-doping rule violations within the sport of Surf Life Saving;
  - issuing infraction notices or other matters under the determined results management process;
  - convening hearings before the *Court of Arbitration for Sport (CAS)*. There is no other hearing body for anti-doping matters in Surf Life Saving;
  - presenting allegations of anti-doping rule violations and all relevant, incidental matters in hearings before CAS; and
  - notifying the results of investigations and hearings and all relevant, incidental matters to relevant bodies including *SLSA* and the *ILS*. Any notification will be subject to the *Australian Sports Anti-Doping Authority Act 2006* (ASADA Act) and privacy legislation.
- 2. SLSA and ASADA acknowledge and agree that under the ASADA Act, ASADA has the function of supporting and encouraging the development and implementation of comprehensive programs and education initiatives about sports drug and safety matters. SLSA will assist ASADA with such matters and will provide education and information regarding anti-doping rules and matters to persons within the sport of Surf Life Saving within the framework established by ASADA.
- 3. ASADA will perform and conduct the anti-doping functions in accordance with this referral and the ASADA Act. ASADA will use its best endeavours to ensure the ILS anti-doping rules are recognised.
- 4. SLSA refers the above anti-doping functions to ASADA on the basis that:
  - ASADA will as soon as practicable, subject to the ASADA Act and privacy legislation, provide to SLSA (and if
    necessary ILS) copies of relevant documents including but not only test results, infraction notices and
    hearing documents;
  - SLSA retains the right to appear in anti-doping hearings before CAS as an interested party. SLSA will determine whether it wishes to exercise this right upon notification of a hearing by ASADA. If SLSA wishes to appear at any anti-doping hearing before CAS it will pay its own costs of such appearance;
  - all costs of any investigation and hearing (including but not only CAS application costs and any legal costs associated with any investigation and/or hearing) undertaken by ASADA will be paid by ASADA;
  - SLSA will immediately advise ASADA of any alleged anti-doping rule violation in Surf Life Saving and will provide assistance to ASADA in any investigation that ASADA might reasonably request; and
  - ASADA will, subject to the ASADA Act and privacy legislation, provide such reports to SLSA on ASADA's conduct of the above anti-doping functions as may be agreed between ASADA and SLSA.
- 5. *SLSA* will recognise and enforce any sanction determined by *CAS* in respect of an anti-doping rule violation in the sport of Surf Life Saving and in any other sport.



- 6. SLSA will use its best endeavours to ensure its Members, Athletes and Athlete Support Personnel are aware of this referral of the anti-doping functions to ASADA and assist and co-operate with ASADA in the conduct of the anti-doping functions. SLSA otherwise recognises ASADA's powers and functions under the ASADA Act.
- 7. *SLSA* has amended its anti-doping policy (ADP) to reflect the roles and responsibilities under the referral. The ADP adopts and reflects the *World Anti-Doping Code (Code)* which is annexed to and forms part of this ADP.
- 8. Where an *Athlete* or *Athlete Support Personnel* is bound by *ILS*'s anti-doping rules as well as this ADP, that *Person* shall be bound to, and have obligations in respect of, both policies.

## 1 DEFINITIONS

<u>ASADA Act</u> means the Australian Sports Anti-Doping Authority Act 2006 as amended from time to time, and includes the ASADA regulations and any statutory or subordinate legislative instrument that replaces of supersedes the Australian Sports Anti-Doping Authority Act 2006 and/or the ASADA Regulations from time to time.

<u>ASADA Regulations</u> means the Australian Sports Anti-Doping Authority Regulations 2006, as amended from time to time (and which includes, for the avoidance of doubt, the NAD Scheme promulgated by those regulations).

#### Athlete means:

- a. any *Person* who participates in sport at the international level (as defined by each *International Federation*), the national level (as defined by each *National Anti-Doping Organisation*, including but not limited to those *Persons* in its *Registered Testing Pool*), and any other competitor in sport who is otherwise subject to the jurisdiction of any *Signatory* or other sports organisation accepting the *Code*. All provisions of the *Code*, including, for example, *Testing* and *TUEs*, must be applied to international and national-level competitors. For the purposes of this ADP, 'Athlete' includes any participant in sporting activity who is a *Member* of *SLSA*, or a *Member* organisation of *SLSA*, and meets the definition of *Athlete* under the *Code* and/or the *NAD Scheme* as in force from time to time; and
- b. any *Person* who:
  - I. is registered with SLSA or one of its Members; or
  - II. participates, or has in the previous eight years participated, in any sporting activity conducted, authorized, recognised or controlled, either directly or indirectly, by SLSA or a body affiliated with SLSA; or
  - III. has otherwise agreed to be bound by this ADP.

<u>Australian Sports Anti-Doping Authority (ASADA)</u> means, where the context requires based on the functions, powers and responsibilities conferred under the ASADA act:

- a. the CEO of ASADA appointed under the ASADA act;
- b. Australian Sports Anti-Doping Authority established under the ASADA act; or
- c. the Anti Doping Rule Violation Panel (ADRVP) established under the ASADA act.



<u>Code</u> means the World Anti-Doping Code adopted by WADA on 17 November 2007 at Madrid; or if the Code has been amended, the Code as so amended.

<u>International Federation</u> (IF) means an international federation recognised by the International Olympic Committee or the General Assembly of International Sports Federations as the entity responsible for governing that sport internationally.

<u>International Life Saving Federation</u> (*ILS*) is the international federation recognised by the International Olympic Committee or the General Assembly of International Sports Federations as the entity responsible for governing Surf Life Saving internationally.

<u>Member</u> means a *Person* who, or a body which, is a member of *SLSA*; a *Person* who, or a body which, is affiliated with *SLSA*; or a *Person* who is a member of a body which is a member of or affiliated with *SLSA*.

<u>National Anti-Doping (NAD) Scheme</u> means the *NAD Scheme* as defined under the *ASADA Act 2006* as amended from time to time.

<u>Prohibited List</u> means the List identifying the *Prohibited Substances* and *Prohibited Methods* which is published and revised by *WADA* as described in Article 4.1 of the *Code* as updated from time to time.

**Specified Substance** has the meaning assigned to it in Article 4.2.2 of the *Code*.

Sporting Administration Body has the same meaning as in the ASADA Act 2006.

<u>Surf Life Saving Australia</u> (*SLSA*) means the national entity which is a member of or is recognised by *ILS* as the entity governing Surf Life Saving in Australia.

## 2 WHAT IS SLSA's POSITION ON DOPING?

*SLSA* condemns doping as fundamentally contrary to the spirit of sport. The purpose of this ADP is to protect *Athletes'* fundamental right to participate in doping-free sport and to ensure harmonised, coordinated and effective anti-doping programs at the international and national level with regard to detection, deterrence and prevention of doping.

## 3 WHO DOES THIS ADP APPLY TO?

This ADP applies to Athletes and Athlete Support Personnel as defined under the Code. It also applies to Members, employees and contractors of SLSA and any other Person who has agreed to be bound by it.

#### 4 OBLIGATIONS

The persons identified in **Article 3** are bound by this ADP as a condition of their membership, participation and/or involvement in Surf Life Saving. *Athletes* and/or *Athlete Support Personnel* must comply with this ADP and the anti-doping rules as prescribed in the NAD scheme under the ASADA Act. In particular:

#### 4.1 Athletes must:

4.1.1 know and comply with all anti-doping policies and rules applicable to them. These include, but may not be limited to this ADP, the *ILS* anti-doping rules and the *NAD Scheme*;



- 4.1.2 be aware of whether they are in *ILS*'s and/or *ASADA's Registered Testing Pools* and comply with the requirements of any such membership;
- 4.1.3 read and understand the *Prohibited List* as it relates to them;
- 4.1.4 be available for *Sample* collection and provide accurate and up-to-date whereabouts information for this purpose when identified for inclusion in a *Registered Testing Pool*;
- 4.1.5 take full responsibility, in the context of anti-doping, for what they ingest, *Use* and *Possess*;
- 4.1.6 inform medical personnel of their obligations not to *Use* or *Possess Prohibited Substances* and *Prohibited Methods* and to take responsibility to make sure that any medical treatment received does not violate anti-doping policies and rules applicable to them;
- 4.1.7 immediately refer information about possible anti-doping rule violations to ASADA;
- 4.1.8 assist, cooperate and liaise with *ASADA* and other *Anti-Doping Organisations* in relation to the conduct of any investigation or hearing into an alleged anti-doping rule violation;
- 4.1.9 act in a discreet and confidential manner in discharging their obligations under this ADP;
- 4.1.10 be available for *Sample* collection and provide accurate and up-to-date whereabouts information on a regular basis, even if not a regular *Member* of *SLSA*, if required by the conditions of eligibility established by any applicable *Anti-Doping Organisation*;
- 4.1.11 attend anti-doping education as directed by SLSA and/or as appropriate. Failure to attend an anti doping education session shall be no excuse for an alleged anti-doping rule violation, nor shall it mitigate culpability of the Athlete in determining sanction; and
- 4.1.12 accept that ignorance of this ADP, the *Code* or the *Prohibited List* is not an excuse from an alleged anti-doping rule violation, and shall not mitigate culpability in sanction.

## 4.2 Athlete Support Personnel must:

- 4.2.1 know and comply with all anti-doping policies and rules applicable to them or the *Athletes* whom they support. These include, but may not be limited to this ADP; the *ILS* anti-doping rules and the *NAD Scheme*;
- 4.2.2 support and assist Anti-Doping Organisations, including ASADA to conduct Doping Control;
- 4.2.3 use their influence on Athletes' values and behaviour to foster anti-doping attitudes;
- 4.2.4 Immediately refer information about possible anti-doping rule violations to ASADA;
- 4.2.5 assist, cooperate and liaise with *ASADA* and other *Anti-Doping Organisations* in relation to the conduct of any investigation or hearing into an alleged anti-doping rule violation; and
- 4.2.6 act in a discreet and confidential manner in discharging their obligations under this ADP.



## 5 DEFINITION OF DOPING

Doping is defined as the occurrence of one or more of the anti-doping rule violations set out in Article 2 of the Code<sup>1</sup>.

#### 6 PROOF OF DOPING

Article 3 of the Code applies.

## 7 ANTI-DOPING RULE VIOLATIONS

Article 2 of the Code applies.

## 8 THE PROHIBITED LIST

This ADP incorporates the Prohibited List and otherwise applies Article 4 of the Code.

## 9 THERAPEUTIC USE

- 9.1 Athletes with a documented medical condition requiring the Use of a Prohibited Substance or a Prohibited Method must first obtain a Therapeutic Use Exemption (TUE) in accordance with the Code, the International Standard for TUEs and this ADP.<sup>2</sup>
- 9.2 Athletes who have been identified as included in ILS's Registered Testing Pool may only obtain TUEs in accordance with the rules of ILS.<sup>3</sup>
- 9.3 Athletes who are not in ILS's Registered Testing Pool but are in ASADA's Registered Testing Pool or Domestic
  Testing Pool with documented medical conditions requiring the Use of a Prohibited Substance or a Prohibited
  Method must request a TUE from ASDMAC.
- 9.4 Athletes who are not in ILS's or ASADA's Registered Testing Pool, Domestic Testing Pool or have not otherwise been notified by ASADA, in accordance with the NAD Scheme, that they require TUEs prior to Use of a Prohibited Substance or a Prohibited Method, may submit applications to ASDMAC for approval of a TUE in accordance with the procedures of ASDMAC.
- 9.5 Athletes should submit applications for *TUEs* no less than 21 days before they require the approval (eg prior to a *National Event*), except for retroactive *TUEs* under **Article 9.6.**
- 9.6 An application for a *TUE* will not be considered for retroactive approval except in cases where:
  - a) emergency treatment or treatment of an acute medical condition was necessary; or
  - b) due to exceptional circumstances, there was insufficient time or opportunity for an Athlete to submit, or a TUE committee to consider, an application prior to Doping Control; or
  - c) ASDMAC procedures, in accordance with the Code and the International Standard for TUEs, provide for retroactive approval.

<sup>&</sup>lt;sup>1</sup> The full text of the Code can be found at (www.wada-ama.org).

International-Level Athletes or Athletes or Athletes entering an International Event should seek guidance on the process for seeking a TUE from the WADA website at http://www.wada-ama.org or ILS's website at http://www.ilsf.org. National level Athletes should seek guidance from the ASDMAC website at www asdmac org au

<sup>&</sup>lt;sup>3</sup> Unless provided otherwise by the rules of *ILS* or an agreement with *ILS*, *ASDMAC* does not have the authority under the *Code* to grant *TUE*s to *International-Level Athletes* or for *International Events*.



- 9.7 An *Athlete* may not apply to more than one body for a *TUE* at the same time. Applications must be in accordance with the *International Standard* for *TUE*s and the procedures of *ILS* or *ASDMAC* as appropriate.
- 9.8 The granting of a *TUE* by *ASDMAC* for an *Athlete* in *ASADA's Registered Testing Pool* shall be promptly reported to *WADA*.
- 9.9 WADA, on its own initiative, may review at any time the granting of a TUE to any International Level Athlete in ILS's Registered Testing Pool or national—level Athlete who is included in ASADA's Registered Testing Pool. Further, upon the request of any such Athlete who has been denied a TUE, WADA may review such denial. If WADA determines that such granting or denial of a TUE did not comply with the International Standard for TUEs, WADA may reverse the decision.
- 9.10 An Athlete who is denied a TUE by ASDMAC must seek review by WADA of the decision before any appeal may be commenced under Article 13.4 of the Code. If, contrary to the requirements of the Code, ILS does not have a process in place where Athletes may request TUEs, an International-Level Athlete may request WADA to review the application as if it had been denied.

## 10 TESTING

- 10.1 All *Athletes* subject to *Doping Control* agree to submit to *In-Competition Testing* and *Out-of-Competition Testing* (at any time or place, with or without advance notice) by an *Anti-Doping Organisation*. *ASADA* may test any *Athlete*, any time, anywhere.
- 10.2 All *Testing* shall be conducted in conformity with the *International Standard* for *Testing* in force at the time of *Testing*.
- 10.3 Athletes must comply with their obligations under the ASADA Act (including but not only the NAD Scheme), the ILS anti-doping rules, this ADP and under the Code and any International Standards in respect to providing accurate whereabouts information to ASADA and/or ILS. Whereabouts information may be released in accordance with the NAD Scheme.

## 11 RETIREMENT AND RETURN TO COMPETITION

## International-Level Athletes

- An Athlete who has been identified by ILS for inclusion in its Registered Testing Pool shall be subject to ILS's retirement and return to Competition requirements, to the exclusion of Article 11.3.
- 11.2 Athletes wishing to retire should contact SLSA to determine if they are in ILS's Registered Testing Pool and therefore are required to follow ILS's procedures. SLSA shall immediately notify ASADA of the retirement or reinstatement of any Athlete in ILS's Registered Testing Pool and provide copies of the correspondence from ILS confirming this retirement/reinstatement.



#### **National-Level Athletes**

- 11.3 Athletes in ASADA's Registered Testing Pool or Domestic Testing Pool shall be subject to the following requirements:
  - a) An Athlete who wants to retire from Competition must do so by notifying ASADA by fully completing and forwarding to ASADA the ASADA "RETIREMENT NOTIFICATION FORM" (retirement notification)<sup>4</sup>. Retirement notifications that are not fully completed will not be accepted and will be returned to the Athlete. An Athlete's retirement date will be the date ASADA receives the fully completed retirement notification.
  - b) Upon receipt of a notification under **Article 11.3(a)**, ASADA will, as soon as reasonably practicable, provide the Athlete and SLSA with written confirmation of the Athlete's retirement.
  - c) Retirement does not:
    - excuse the Athlete from giving a Sample requested on or before their retirement date, or a Sample required as part of an investigation commenced prior to their retirement date;
    - II. excuse the Athlete from assisting, cooperating and liaising with ASADA and other Anti- Doping Organisations in relation to the conduct of any investigation or hearing into an alleged anti- doping rule violation;
    - III. prevent the analysis of a Sample given by the Athlete on or before their retirement date;
    - IV. affect the results of Testing under (i) or (iii) above;
    - V. exempt the Athlete from this ADP in relation to an anti- doping rule violation committed on or before their retirement date; or
    - VI. affect ASADA's power to conduct results management (see Article 15).
- An Athlete who has retired in accordance with Article 11.3(a), and who wishes to return to Competition, can only do so by notifying ASADA by fully completing and forwarding, the ASADA "REQUEST FOR REINSTATEMENT FORM" (reinstatement request)<sup>5</sup>. Reinstatement requests that are not fully completed will not be accepted and will be returned to the Athlete. The Athlete's reinstatement request date will be the date ASADA receives the fully completed reinstatement request. Reinstatement will be at the discretion of SLSA in consultation with ASADA.
- 11.5 Upon receipt of notification under **Article 11.4**, *ASADA* will, as soon as reasonably practicable:

(a) provide the Athlete with written confirmation of the outcome of the Athlete's reinstatement request; and

(b) if the reinstatement request is approved, provide SLSA with written confirmation of the Athlete's reinstatement.

11.6 If reinstatement is granted then this ADP will apply to the *Athlete* from the date of their reinstatement request. An *Athlete* who is reinstated under Article 11.4 may not compete in *Competitions* and *Events* conducted by or under the auspices of *SLSA* or *ILS* for a period of 6 months from the date of the reinstatement request.

<sup>&</sup>lt;sup>4</sup> In May 2008, the *ASADA* RETIREMENT NOTIFICATION FORM was accessible on the internet through the website of the *Australian Sports Anti-Doping Authority* (www.asada.gov.au).

<sup>&</sup>lt;sup>5</sup> In May 2008, the *ASADA* REQUEST FOR REINSTATEMENT FORM was accessible on the internet through the website of the *Australian Sports Anti-Doping Authority* (www.asada.gov.au).



- 11.7 An Athlete must be available for unannounced Out-of-Competition Testing in accordance with this ADP from the date of their reinstatement request. Being available for Out-of-Competition Testing means that an Athlete has provided current and accurate and up-to-date whereabouts information as required under the reinstatement request and Article 10.3 and has complied with any request by an Anti-Doping Organisation to provide a Sample.
- 11.8 A decision regarding reinstatement of an Athlete may be appealed to CAS by SLSA, the Athlete or ASADA.

#### 12 NEW MEMBERS

Any new *Member* of *SLSA* who is an *Athlete* subject to *Doping Control* in accordance with the *NAD Scheme* (including any *Athlete* in *ASADA's Registered Testing Pool*) must also be available for unannounced *Out-of-Competition Testing* in accordance with this ADP for a period of 6 months from the date of their membership request prior to competing in national or international *Competitions* and *Events*.

## 13 ANALYSIS OF SAMPLES

*Samples* collected under this ADP must be analysed by *WADA*-accredited laboratories or as otherwise approved by *WADA*. Laboratories shall analyse *Samples* and report results in accordance with the relevant *International Standards*.

## 14 INVESTIGATIONS

- 14.1 ASADA may conduct an investigation to determine whether an anti-doping rule violation may have occurred under this ADP. ASADA will conduct investigations in accordance with the Code, the ASADA Act and the NAD Scheme as published from time to time. SLSA may, with the prior agreement of ASADA, conduct its own investigation to determine whether an anti-doping rule violation may have occurred under this ADP, provided that SLSA does so in coordination with any investigation being undertaken by ASADA.
- 14.2 All persons bound by this ADP and *SLSA* must assist, co-operate and liaise with *ASADA* in relation to any investigation into an alleged anti-doping rule violation.

#### 15 RESULTS MANAGEMENT

- 15.1 Results shall be managed in accordance with Article 7 of the Code, the ASADA Act 2006 and the NAD Scheme.
- 15.2 ASADA will conduct any follow up investigation in accordance with the *Code*, the ASADA Act 2006 and the *NAD Scheme*.
- 15.3 ASADA shall be responsible for notification of an alleged anti-doping rule violation and all matters incidental thereto in accordance with the ASADA Act 2006 and the NAD Scheme.
- 15.4 ASADA will issue an infraction notice. ASADA will advise SLSA and any other relevant parties that ASADA is issuing an infraction notice prior to issuing the infraction notice.
- 15.5 The infraction notice shall:
  - (a) notify the Person of the anti-doping rule/s which appear/s to have been violated and the basis for the violation;
  - (b) enclose a copy of this ADP and the Code or the web site addresses where these documents may be found;
  - (c) state that ASADA will refer the matter to a hearing within 14 days (or other period determined by ASADA in accordance with the Code, the NAD Scheme and the ASADA Act), unless



the Person gives a written waiver under Article 15.7; and

(d) state that if the Person does not respond within 14 days (or other period in accordance with the Code, the NAD Scheme and the ASADA Act) a hearing can be held in absentia or sanction can be applied in accordance with **Article 17**.

- Any relevant party will only disclose or use information about a *Person* who is alleged to have, or has committed an anti-doping rule violation as permitted under the *ASADA* Act 2006 and the *NAD Scheme*.
- ASADA will refer the matter to hearing in accordance with **Article 16**. ASADA may decide not to refer the matter to hearing if the Person in writing:
  - (a) acknowledges they have admitted the anti-doping rule violation; and
  - (b) waives the right to a hearing in relation to:
    - (i) whether they have committed an anti-doping rule violation; and
    - (ii) what sanction will apply.
- 15.8 If the *Person* does not respond within 14 days (or other period determined by *ASADA* in accordance with the *Code*, the NAD Scheme and the ASADA Act) a hearing can be held in absentia or sanction can be applied in accordance with **Article 17**.
- 15.9 If an *Athlete* or other *Person* retires while a results management process is underway, *ASADA* retains jurisdiction to complete its results management process. If an *Athlete* or other *Person* retires before any results management process has begun, so long as *ASADA* would have had results management jurisdiction over the *Athlete* or other *Person* at the time the *Athlete* or other *Person* committed an anti-doping rule violation, *ASADA* will have jurisdiction to conduct results management.
- 15.10 SLSA must, after consultation with ASADA, impose a Provisional Suspension on any Person whose A Sample is the subject of an Adverse Analytical Finding of a Prohibited Substance other than a Specified Substance.
- 15.11 SLSA may, after consultation with ASADA, impose a *Provisional Suspension* on any *Person* whose *A Sample* is the subject of an *Adverse Analytical Finding* of a Specified Substance or who is issued with an infraction notice or who is subject to an investigation.
- 15.12 SLSA may, after consultation with ASADA, impose the following Provisional Suspension. SLSA may suspend:
  - a) financial or other assistance to the Person;
  - b) the Person from Competition in Events and Competitions conducted by or under the auspices of SLSA; and
  - c) the Person's license or participation permit (if relevant)15.13 SLSA may, after consultation with ASADA, apply the Provisional Suspension:
    - I. from the date of the infraction notice;
    - II. following the 14 day submission period; or
    - III. as deemed appropriate by SLSA or ILS;



until the determination of the hearing or a determination by ASADA not to refer the matter to hearing

- 15.14 If a *Provisional Suspension* is imposed, the hearing under **Article 16** shall be advanced to a date that avoids substantial prejudice to the *Athlete*.
- 15.15 ASADA will convene any *Provisional Hearing* and will present the case at any *Provisional Hearing* unless otherwise agreed.
- 15.16 As a general rule, the *Provisional Suspension* of a *Person* will not be publicly disclosed. A *Provisional Suspension* may however be publicly disclosed so long as such disclosure will not be unfairly prejudicial to the interests of the *Person. ASADA* must be consulted prior to any such disclosure.

#### 16 HEARING

- 16.1 Article 8 of the *Code* applies.
- 16.2 ASADA will wait 14 days (or other period determined by ASADA in accordance with the Code, the NAD Scheme and the ASADA Act or a period less than 14 days as agreed between ASADA and the Person) after sending an Infraction Notice above and then will convene CAS to conduct the hearing. ASADA will prosecute the alleged anti-doping rule violation.
- 16.3 *CAS* will determine:
  - a) if the Person has committed a violation of this ADP;
  - b) if so, what sanction will apply;
  - c) how long the sanction will apply; and
  - d) any other issues properly brought before it for determination.
- 16.4 CAS will give to the Athlete, ASADA and SLSA a written statement of:
  - a) the findings of the hearing and brief reasons for the findings;
  - b) what sanction (if any) will apply;
  - c) for how long the sanction (if any) will apply; and
  - d) any other issues determined by it.
- 16.5 Sanctions will be applied under **Article 17.**
- 16.6 ASADA will report the outcome of all anti-doping rule violations in accordance with the *Code*, the *ASADA* Act 2006 and the *NAD Scheme*.
- 16.7 Hearings under this Article shall be completed expeditiously as reasonably practicable.
- Australian Sports Commission (ASC), SLSA, ILS and WADA and any other relevant body (for example, Australian Olympic Committee, Australian Paralympic Committee or Australian Commonwealth Games Association) shall have the right to attend hearings as an observer or affected party.
- 16.9 Decisions by CAS under this Article may be appealed as provided in Article 19.

## **Surf Life Saving Australia**



- 16.10 Decisions by *CAS* under this Article shall not be subject to further administrative review at the national level except as required by applicable national law.
- 16.11 If, during a hearing, a party to the hearing process implicates a third party to an anti-doping rule violation, *ASADA* may use any information that arises as a result of the *CAS* process without having to first seek the permission of the parties. This clause expressly ousts Rule 43 of the *CAS* Code of Sports-related Arbitration.

## 17 SANCTIONS

- 17.1 Articles 9 and 10 of the *Code* apply.
- 17.2 *CAS* or another relevant body may require the *Athlete* or other *Person* to repay all funding and grants received by the *Athlete* from that body subsequent to the occurrence of the anti-doping rule violation. However, no financial sanction may be considered a basis for reducing the period of *Ineligibility* or other sanction which would otherwise be applicable under this ADP. Repayment of funding and grants may be made a condition of reinstatement.
- 17.3 *CAS* may also determine, in addition to applying the sanctions under the *Code*, that a *Person* who has committed an anti-doping rule violation, is required to go to counselling for a specified period.
- 17.4 Where CAS determines that an employee or contractor of SLSA has committed an anti-doping rule violation, SLSA will take disciplinary action against the employee or contractor.
- 17.5 Once the period of a *Person's Ineligibility* has expired and the *Person* has fulfilled the conditions for reinstatement, then provided that the *Person* has paid all forfeiture penalties in full and has satisfied in full any award of costs made against the *Person* by *CAS* and any other requirements determined by *CAS*, the *Person* will become automatically reeligible and no application for reinstatement will be necessary. If, however, further forfeited amounts become due after the *Person's* period of *Ineligibility* has expired then any failure by the *Person* to pay all outstanding amounts on or before their respective due dates shall entitle *SLSA* to deny the *Person's* access to further *Competitions* and *Events* or any other *SLSA* activity until the amounts due are paid in full unless otherwise agreed by both parties.

## 18 CONSEQUENCES TO TEAMS

Article 11 of the Code applies.

## 19 APPEALS

- 19.1 Decisions made under **Article 16** of this ADP may be appealed to the *CAS* Appeals Division in accordance with this ADP, Article 13 of the *Code* and the *CAS* Code of Sports Related Arbitration. Such decisions shall remain in effect while under appeal unless the appellate body orders otherwise. Before an appeal is commenced, any post-decision review authorised in the *NAD Scheme* or **Article 16.9** must be exhausted. The following persons shall have the right to appeal:
  - the Athlete or other Person who is the subject of the decision being appealed;
  - the other party to the case in which the decision was rendered;
  - ASADA;
  - any other affected parties including SLSA;
  - ILS and any other Anti-Doping Organisation under whose rules a sanction could have been imposed;



- the International Olympic Committee or International Paralympic Committee, as applicable, where the
  decision may have an effect in relation to the Olympic Games or Paralympic Games, including
  decisions affecting eligibility for the Olympic Games or Paralympic Games; and
- WADA.
- 19.2 The outcome of all appeals must be reported to all parties, ASC, SLSA, ILS and WADA within 14 days of the conclusion of the appeal. ASADA may inform other Persons or organisations as it considers appropriate.
- 19.3 The time to file an appeal to CAS shall be within 21 days of the release of the written decision of the initial hearing.

# 20 MANAGEMENT OF ALLEGED ANTI-DOPING RULE VIOLATIONS NOT COVERED BY AN ANTI-DOPING POLICY

In the case of a *Person* who has committed an anti-doping rule violation or has committed conduct which would have amounted to an anti-doping rule violation if the *Person* was bound by a *Code* compliant anti-doping policy and the *Person* has not been sanctioned by *CAS* or any other hearing body because the *Person* was not bound by a *Code* compliant anti-doping policy, *SLSA* will:

- a) prevent that Person from competing in SLSA Competitions, Events and activities if the Person is an Athlete;
- b) prevent that *Person* (so far as reasonably possible) from having any involvement in *SLSA Competitions, Events* and activities; and
- c) not employ, engage or register that *Person*;

unless and until that Person agrees to be retrospectively bound by this ADP from the occurrence of the antidoping rule violation.

## 21 CONFIDENTIALITY AND REPORTING

- 21.1 The identity of any *Athlete* or other *Person* who is asserted to have committed an anti-doping rule violation may only be *Publicly Disclosed* by *ASADA*, or *SLSA* after consultation with *ASADA*, in accordance with the *Code*, the ASADA Act, the *NAD Scheme* and the terms of the Confidentiality Undertaking signed between *ASADA* and *SLSA*.
- 21.2 ASADA or SLSA, or any official of either, will not publicly comment on the specific facts of a pending case (as opposed to general description of process and science) except in response to public comments attributed to the Athlete, other Person or their representatives.
- 21.3 No later than 20 days after it has been determined in a hearing in accordance with Article 16 that an anti-doping rule violation has occurred and the time to appeal such decision has expired, or such hearing has been waived and the time to appeal the decision has expired, or the assertion of an anti-doping rule violation has not been challenged in a timely fashion, ASADA must Publicly Disclose at least: the disposition of the anti-doping matter including the sport, the anti-doping rule violated, the name of the Athlete or other Person committing the violation, the Prohibited Substance or Prohibited Method involved and the Consequences imposed. ASADA must also Publicly Disclose within 20 days appeal decisions concerning anti-doping rule violations. ASADA will also, within the time period for publication, send all hearing and appeal decisions to WADA. SLSA may also elect to make a public statement in relation to the matter, following consultation with ASADA.
- 21.4 In any case where it is determined, after a hearing or appeal, that the *Athlete* or other *Person* did not commit an anti-doping rule violation, the decision may be *Publicly Disclosed* only with the consent of the *Athlete* or other *Person* who is the subject of the decision. *ASADA* will use reasonable efforts to obtain such consent, and if consent is obtained, will *Publicly Disclose* the decision in its entirety or in such redacted form as the *Athlete* or other *Person* may approve.



- 21.5 Any decision of an *Anti-Doping Organisation* regarding a violation of this ADP shall be recognised by all *Sporting Administration Bodies*, which shall take all necessary action to render such results effective.
- 21.6 Subject to the right to appeal provided in Article 19, the *Testing, TUE* and hearing results or other final adjudications of any organisation recognised by *ASADA* or any *Sporting Administration Body* which are consistent with the *Code* and are within the organisation's authority, shall be recognised and respected by *SLSA*. *SLSA* may recognise the same actions of other bodies which have not accepted the *Code* if the rules of those bodies are otherwise consistent with the *Code*, the *NAD Scheme* and/or *ASADA*'s position.

## 22 INTERPRETATION OF THE CODE

22.1 Article 24 of the *Code* applies.

## 23 MISCELLANEOUS

- 23.1 Statute of Limitations Article 17 of the *Code* applies.
- 23.2 Doping Control for animals competing in Sport Article 16 of the *Code* applies (if relevant).
- 23.3 Words not defined in this ADP have the meaning ascribed to them in the *Code* unless a contrary meaning appears from the context.
- 23.4 This ADP may be amended by *SLSA* subject to prior consultation with *ASADA* and ongoing compliance with the *Code* and ASADA Act.
- Where this ADP adopts and applies an article of the *Code* that article and the terms in it shall be considered and applied in the context of this ADP.